



M DAMODARAN & ASSOCIATES LLP

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SECRETARIAL COMPLIANCE REPORT OF THE SUNDARAM HOME FINANCE LIMITED FOR THE YEAR ENDED 31.03.2024

(Pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Master Circular No. SEBI/HO/DDHS/PoD1/P/CIR/2023/108 dated July 29, 2022)

I M. Damodaran, Managing Partner of M Damodaran & Associates LLP Practicing Company Secretaries, Chennai have examined:

- (a) all the documents and records made available to me and explanation provided by **SUNDARAM HOME FINANCE LIMITED** ("hereinafter referred as 'the Company'"),
- (b) the filings/ submissions made by the company to the stock exchanges,
- (c) website of the company,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification.

for the year ended **March 31, 2024** ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR');





- (b) Securities and Exchange Board of India (Issue and Listing of Non-convertible Securities) Regulations, 2021;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;
- (e) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (f) Securities and Exchange Board of India (Debenture Trustees) Regulations, 1993;

and based on the above examination, I hereby report that, during the review period:

- (a) The company has complied with the provisions of the above regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Sl. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
NIL			

- (b) The company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

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- (c) The following are the details of actions taken against the Company/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sl. No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NIL				

- (d) The company has taken the following actions to comply with the observations made in previous reports:

Sl. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31.03.2023	Actions taken by the Company, if any	Comments of the Practicing Company Secretary on the actions taken by the Company
1.	-	There was a delay in intimation to National Stock Exchange of India Limited (NSE) about the details of interest/principal obligations payable during the quarter from 01.10.2022 to 31.12.2022 with respect to some of	The Company has paid the penalty of Rs. 6,000/- plus tax on 23.06.2023, which was informed to NSE.	The Company has paid the penalty of Rs. 6,000/- plus tax on 23.06.2023, which was informed to NSE.

		<p>the listed NCDs as required u/r. 57(4) of SEBI LODR.</p> <p>However, it was also observed that there was no delay in remitting the interest payment to the debenture holder.</p> <p>The Company received penalty notice from NSE on 27.10.2022 relating to delay in compliance u/r. 57(4) of SEBI LODR. The Company had applied for waiver of the penalty aforesaid on 01.11.2022.</p> <p>The NSE has rejected the waiver vide it's letter dated 21.06.2023.</p>		
2.	-	<p>There was a delay in intimation to NSE by two working days about the record date for the payment of interest/principal due falling in the month of August 2022 for the listed</p>	<p>The NSE has waived the penalty vide it's letter dated 19.06.2023 for non-compliance pertaining to regulation 60(2), which</p>	<p>The NSE has waived the penalty vide it's letter dated 19.06.2023 for non-compliance pertaining to regulation 60(2).</p>



		<p>NCDs as required u/r. 60(2).</p> <p>The Company received penalty notice from NSE on 30.08.2022 relating to delay in compliance u/r. 60(2) of SEBI LODR.</p> <p>The Company had applied for waiver of the penalty aforestated on 01.09.2022.</p> <p>The NSE has waived the penalty vide it's letter dated 19.06.2023.</p>	<p>was informed to NSE.</p>	
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Place: Chennai
Date: May 17, 2024

For **M DAMODARAN & ASSOCIATES LLP**

M. DAMODARAN
Managing Partner
Membership No.: 5837
COP. No.: 5081
FRN: L2019TN006000
PR 3847/2023
ICSI UDIN: F005837F000387020

